12-18-01

JC931 U.S. PTO
12/13/01

UTILITY PATENT APPLICATION TRANSMITTAL

(Only for new non-provisional applications under 37CFR§1 53(b))

Attorney Docket No.	KLR 7146.121
First Inventor or Application Identifier	Errico et al.
Title	SYSTEM FOR PRESENTING AUDIO-VIDEO CONTENT
Express Mail Label No.	EL915306613US

JC931 U.S. PTO
10/016941
12/13/01

APPLICATION ELEMENTS
See MPEP chapter 600 concerning utility patent application contents.

ADDRESS TO: Commissioner for Patents
Box Patent Application
P.O. Box 2327
Arlington, VA 22202

1. ☒ *Fee Transmittal Form (e.g. PTO/SB/17)
(Submit an original and a duplicate for fee processing)

2. ☒ Specification — *Total pages* 32

(preferred arrangement set forth below)
- Descriptive Title of the Invention
- Cross References to Related Applications
- Statement Regarding Federally Sponsored Research
- Reference to Microfiche Appendix
- Background of the Invention
- Brief Summary of the Invention
- Brief Description of the Drawings *(if filed)*
- Detailed Description
- Claim(s)
- Abstract of the Disclosure

3. ☒ Drawing(s) *(35 USC 113)* — [Total Pages 9

4. Oath or Declaration — [Total Pages 3

a. ☒ Newly executed (original or copy)

b. ☐ Copy from a prior application (37 CFR §1.63(d))
(for continuation/divisional with Box 16 completed)

I. ☐ Deletion of Inventor(s)
Signed statement attached deleting inventor(s) named in the prior application, see 37 CFR §§1.53(d)(2) and 1.33(b)

5. ☐ Microfiche Computer Program (Appendix)

6. Nucleotide and/or Amino Acid Sequence Submission (if applicable, all necessary)

a. ☐ Computer readable copy

b. ☐ Paper copy (identical to computer copy)

c. ☐ Statement verifying identity of above copies

ACCOMPANYING APPLICATION PARTS

7. ☒ Assignment Papers (cover sheet & document(s))

8. ☒ Power of Attorney
when there is an assignee

9. ☐ English translation document *(if applicable)*

10. ☐ Information Disclosure Statement (IDS) /PTO 1449 ☐ Copies of IDS Citations

11. ☐ Preliminary Amendment

12. ☒ Return Receipt Postcard (MPEP 503)
(should be specifically itemized)

13. ☐ *Small Entity Statements *(PTO/ sb/09-12)* ☐ Statement filed in prior application. Status still proper and desired.

14. ☐ Certified Copy of Priority Document(s)
(if foreign priority is claimed)

15. ☐ Other:

* Note for Items 1 & 13: In order to be entitled to pay small entity fees, a small entity statement is required (37 CFR §1.27), except if one filed in a prior application is relied upon (37 CFR §1.28).

16. If a CONTINUING APPLICATION, *check appropriate box, and supply the requisite information below and in a preliminary amendment*

☐ Continuation ☐ Divisional ☐ Continuation-in-part (CIP) of prior application No.:___

Prior application information: Examiner _____ Group No./Art Unit _____

For CONTINUATION or DIVISIONAL APPS only: The entire disclosure of the prior application, from which an oath or declaration is supplied under Box 4b, is considered a part of the disclosure of the accompanying continuation or divisional application and is hereby incorporated by reference. The incorporation can only be relied upon when a portion has been inadvertently omitted from the submitted application parts.

17 CORRESPONDENCE ADDRESS

☐ Customer Number or Bar Code Label (Insert customer number or attach bar code label here) or ☒ Correspondence address below

Name	Kevin L. Russell				
Address	601 SW Second Ave., Suite 1600				
City	Portland	State	OR	Zip Code	97204-3157
Country	USA	Telephone	(503)227-5631	FAX	(503)228-4373

Name (print type)	Kevin L. Russell	Registration No.	38,292
Signature		Date	December 13, 2001

FEE TRANSMITTAL for FY 2002

Patent fees are subject to annual revision.

Complete If Known	
Application Number	
Filing Date	Concurrently herewith
First Named Inventor	Errico, et al.
Examiner Name	
Group/ Art Unit	
Attorney Docket No.	KLR 7146.121

TOTAL AMOUNT OF PAYMENT	$1,950

METHOD OF PAYMENT (check one)

1. ☒ The Commissioner is hereby authorized to charge the indicated fees and credit any over payments to:

Deposit Account Number: 03-1550

Deposit Account Name: Chernoff Vilhauer McClung & Stenzel

☒ Charge any additional fee required under 37 CFR 1.16 & 1.17

☒ Applicant claims small entity status See37CFR 1.27

2. ☒ Payment Enclosed

☒ Check ☐ Credit Card ☐ Money Order ☐ Other

FEE CALCULATION

1. BASIC FILING FEE

Large Entity Fee Code	Large Entity Fee ($)	Small Entity Fee Code	Small Entity Fee ($)	Fee Description	Fee Paid
101	740	201	370	Utility filing fee	$740
106	330	206	165	Design filing fee	
107	510	207	255	Plant filing fee	
108	740	208	370	Reissue filing fee	
114	160	214	80	Provisional filing fee	
				SUBTOTAL (1)	$740

2. EXTRA CLAIM FEES

			Extra Claims		Fee from below	=	Fee Paid
Total Claims	85	-20** =	65	x	18	=	1,170
Indep. Claims	3	- 3** =	0	x	42	=	0
Multiple Dependent						=	0

**or number of previously paid, if greater. For reissues, see below.*

Large Entity Fee Code	Large Entity Fee ($)	Small Entity Fee Code	Small Entity Fee ($)	Fee Description
103	18	203	9	Claims in excess of 20
102	84	202	42	Independent claims in excess of 3
104	280	204	140	Multiple dependent claim, if not paid
109	84	209	42	**Reissue independent claims over original patent
110	18	210	9	*Reissue claims in excess of 20 and over original patent

SUBTOTAL (2) $1,170

FEE CALCULATION (continued)

3. ADDITIONAL FEES

Large Entity Fee Code	Large Entity Fee ($)	Small Entity Fee Code	Small Entity Fee ($)	Fee Description	Fee Paid
105	130	205	65	Surcharge - late filing fee or oath	
127	50	227	25	Surcharge-late provisional filing fee or cover sheet	
139	130	139	130	Non-English specification	
147	2,520	147	2,520	For filing a request for ex-parte reexamination	
112	920*	112	920*	Requesting publication of SIR prior to Examiner action	
113	1840*	113	1840*	Requesting publication of SIR after Examiner action	
115	110	215	55	Extension for reply within first month	
116	400	216	200	Extension for reply within second month	
117	920	217	460	Extension for reply within third month	
118	1,440	218	720	Extension for reply within fourth month	
128	1,960	228	980	Extension for reply within fifth month	
119	320	219	160	Notice of Appeal	
120	320	220	160	Filing a brief in support of an appeal	
121	280	221	140	Request for oral hearing	
138	1,510	138	1,510	Petition to institute a public use proceeding	
140	110	240	55	Petition to revive - unavoidable	
141	1,280	241	640	Petition to revive - unintentional	
142	1,280	242	640	Utility issue fee (or reissue)	
143	460	243	230	Design issue fee	
144	620	244	310	Plant issue fee	
122	130	122	130	Petitions to the Commissioner	
123	50	123	50	Processing fee under 37 CFR 1.17(q)	
126	180	126	180	Submission of Information Disclosure Stmt.	
581	40	581	40	Recording each patent assignment per property (times number of properties)	$40
146	740	246	370	Filing a submission after final rejection (37 C.F.R. 1 129(a))	
149	740	249	370	For each additional invention to be examined (37 C F.R. 1.129(b))	
179	740	279	370	Request for Continued Examination (RCE)	
169	900	169	900	Request for expedited examination of a design application	
Other (specify)					

* Reduced by Basic Filing Fee Paid SUBTOTAL (3) $40

SUBMITTED BY		Complete (if applicable)			
Name (print type)	Kevin L. Russell	Registration No.	38,292	*Telephone*	(503) 227-5631
Signature				*Date*	December 13, 2001

CERTIFICATE OF MAILING
BY EXPRESS MAIL

Express Mail No.: EL915306613US

Date of Deposit: December 13, 2001

I hereby certify that the patent application attached hereto entitled SYSTEM FOR PRESENTING AUDIO-VIDEO CONTENT, Errico et al., inventors, is being deposited with the United States Postal Service "Express Mail Post Office to Addressee" service on the date indicated above and is addressed to, The Honorable Commissioner for Patents, Box Patent Application, P.O. Box 2327, Arlington, VA 22202. The United States Patent and Trademark Office is not receiving mail at their usual address due to national issues.

Dwight Bergquist-Moody

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